



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*C. Healion*  
C. Healion

Applicant : Craig L. Ogg, et al. Confirmation No. 2004  
Application No. : 09/690,083  
Filed : October 16, 2000  
Title : CRYPTOGRAPHIC MODULE FOR SECURE PROCESSING OF  
VALUE-BEARING ITEMS  
Grp./Div. : 3621  
Examiner : Firmin Backer  
Docket No. : 40630/SAH/S850

INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(d) AND 1.17(p)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 6, 2005

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /EA/

**Application No. 09/690,083**

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Jonathan S. Miller  
Reg. No. 48,534  
626/795-9900

JSM/cah

Enclosures: Check \$180  
Copy of IDS  
Form PTO/SB/08A/B, w/references  
CAH PAS621961.1-05/06/05 10:56 AM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	40630/SAH/S850
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Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	3621
Examiner Name	Firmin Backer

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,621,797	04-15-1997	Rosen
		6,081,810	06-27-2000	Rosenzweig et al.
		6,151,591	11-21-2000	Pierce et al.
		6,226,742 B1	05-01-2001	Gupta et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE	/Evens Augustin/	DATE CONSIDERED	04/12/2010
<small>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.</small>			

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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